

General Assembly

Raised Bill No. 6438

January Session, 2011

LCO No. 3690

03690 JUD

Referred to Committee on Judiciary

Introduced by: (JUD)

AN ACT CONCERNING PROBATE COURT OPERATIONS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Section 45a-8a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2011*):
- 3 (a) For the purposes of this section, "children's matters" means: (1)
- 4 Guardianship matters under sections 45a-603 to 45a-625, inclusive; (2)
- 5 termination of parental rights matters under sections 45a-706 to 45a-
- 6 719, inclusive; (3) adoption matters under sections 45a-724 to 45a-733,
- 7 inclusive, and sections 45a-736 and 45a-737; (4) claims for paternity
- 8 under section 46b-172a; (5) emancipation of minor matters under
- 9 sections 46b-150 to 46b-150e, inclusive; and (6) voluntary admission
- 10 matters under section 17a-11.
- 11 [(b) The Probate Court Administrator shall, within available
- resources, establish a regional children's probate court in a region that
- 13 shall consist of the probate districts of New Haven, Branford, East
- 14 Haven, Hamden, Milford, North Branford, North Haven, Orange,
- 15 West Haven and Woodbridge. In establishing such court, the Probate
- 16 Court Administrator shall consult with the probate judges of such

17 districts, each of whom may participate on a voluntary basis.]

[(c)] (b) [In addition to the court established under subsection (b) of this section, the] The Probate Court Administrator may establish [six additional] seven regional children's probate courts in regions designated by the Probate Court Administrator. In establishing such courts, the Probate Court Administrator shall consult with the probate judges of the districts located in each designated region, each of whom may participate on a voluntary basis.

[(d)] (c) The Probate Court Administrator may establish a regional children's probate court under this section in (1) any existing probate court facility within a district located in a region, or (2) a separate facility located in a region as may be designated by the Probate Court Administrator. Each regional children's probate court shall be established and operated with the advice of the participating probate judges of such districts and the administrative judge appointed under subsection [(g)] (f) of this section. Such participating probate judges and administrative judge shall serve as the judges of the regional children's probate court, except as provided in subdivision (1) of subsection [(g)] (f) of this section. Such judges shall hear and determine all children's matters as may come before them on a docket separate from other probate matters.

[(e)] (d) (1) For the purposes of this section, the Probate Court Administrator may, subject to the provisions of section 45a-84, expend from the Probate Court Administration Fund established under section 45a-82 such amounts as the Probate Court Administrator may deem reasonable and necessary for the establishment, improvement, maintenance and operations of court facilities located in each such designated region.

(2) Nothing in this section shall be construed to relieve any town of its obligation to provide and maintain court facilities pursuant to section 45a-8.

[(f)] (e) The Probate Court Administrator may, subject to the provisions of section 45a-84, expend moneys from the Probate Court Administration Fund to pay for necessary improvements of a facility designated as a regional children's probate court under this section, to pay operating expenses of a regional children's probate court and to reimburse participating towns or cities for any costs of leasing office space for a regional children's probate court, and any necessary improvements thereto, and for expenses under subsection [(g)] (f) of this section.

[(g)] (f) (1) The Probate Court Administrator, with the advice of the participating probate judges of the districts located in the designated region, shall appoint an administrative judge for each regional children's probate court. The administrative judge shall be a probate judge at the time of such appointment. If the administrative judge ceases to serve as a probate judge after such appointment, the administrative judge may continue to serve as administrative judge at the pleasure of the Probate Court Administrator, but shall not have the powers granted to an elected probate judge and shall not hear and determine children's matters before such regional children's probate court. Subject to the approval of the Chief Court Administrator, the Probate Court Administrator shall fix the compensation of the administrative judge and such compensation shall be paid from the Probate Court Administration Fund. Such compensation, together with the administrative judge's compensation as a probate judge of the district to which he or she was elected, shall not exceed the compensation provided for a judge of probate under subdivision (4) of subsection (a) of section 45a-95a. The administrative judge shall have such benefits as may inure to him or her as a probate judge and shall receive no additional benefits, except for compensation provided under this section.

(2) Each administrative judge shall be responsible for the management of cases, coordination of social services, staff, financial management and record keeping for the regional children's probate

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court for which the administrative judge is appointed. The administrative judge may, with the approval of the Probate Court Administrator, purchase furniture, office supplies, computers and other equipment and contract for services that the administrative judge may deem necessary or advisable for the expeditious conduct of the business of the regional children's probate court. Such expenses shall be paid for pursuant to section 45a-8. If a separate facility for a regional children's probate court is established pursuant to subdivision (2) of subsection [(d)] (c) of this section, the participating town or city shall reimbursed for such expenses from the Probate Court Administration Fund upon presentation of vouchers to the Probate Court Administrator.

- [(h)] (g) Each administrative judge for a regional children's probate court may, with the approval of the Probate Court Administrator, employ such persons as may be required for the efficient operation of the regional children's probate court. Such employees shall be employees of the regional children's probate court and shall be entitled to the benefits of probate court employees under this chapter. Such employees shall not be deemed to be state employees.
- [(i)] (h) Any probate court within a region designated under subsection (b) [or (c)] of this section may transfer children's matters to the regional children's probate court for such region. Any regional children's probate court may accept transfers and referrals of children's matters from probate courts within its region.
- [(j)] (i) Each regional children's probate court shall be considered a probate court for the purposes of this chapter.
 - [(k)] (j) The Probate Court Administrator shall establish policies and procedures to implement the provisions of this section. [On or before January 3, 2007, the Probate Court Administrator shall submit a report concerning the operation and effectiveness of the regional children's probate courts established under this section to the joint standing committee of the General Assembly having cognizance of matters

- relating to the judiciary, in accordance with section 11-4a.]
- Sec. 2. Subdivision (9) of section 31-275 of the general statutes is
- repealed and the following is substituted in lieu thereof (*Effective July*
- 116 1, 2011):
- 117 (9) (A) "Employee" means any person who:
- 118 (i) Has entered into or works under any contract of service or
- apprenticeship with an employer, whether the contract contemplated
- the performance of duties within or without the state;
- 121 (ii) Is a sole proprietor or business partner who accepts the
- 122 provisions of this chapter in accordance with subdivision (10) of this
- 123 section;
- 124 (iii) Is elected to serve as a member of the General Assembly of this
- 125 state;
- (iv) Is a salaried officer or paid member of any police department or
- 127 fire department;
- (v) Is a volunteer police officer, whether the officer is designated as
- special or auxiliary, upon vote of the legislative body of the town, city
- or borough in which the officer serves;
- (vi) Is an elected or appointed official or agent of any town, city or
- borough in the state, upon vote of the proper authority of the town,
- city or borough, including the elected or appointed official or agent,
- 134 irrespective of the manner in which he or she is appointed or
- employed. Nothing in this subdivision shall be construed as affecting
- 136 any existing rights as to pensions which such persons or their
- dependents had on July 1, 1927, or as preventing any existing custom
- of paying the full salary of any such person during disability due to
- injury arising out of and in the course of his or her employment; [or]
- (vii) Is an officer or enlisted person of the National Guard or other

- armed forces of the state called to active duty by the Governor while
- 142 performing his or her active duty service; or
- 143 <u>(viii)</u> Is elected to serve as a probate judge for a probate district 144 established in section 45a-2.
- (B) "Employee" shall not be construed to include:
- (i) Any person to whom articles or material are given to be treated in any way on premises not under the control or management of the
- 148 person who gave them out;
- (ii) One whose employment is of a casual nature and who is employed otherwise than for the purposes of the employer's trade or business:
- (iii) A member of the employer's family dwelling in his house; but, if, in any contract of insurance, the wages or salary of a member of the employer's family dwelling in his house is included in the payroll on which the premium is based, then that person shall, if he sustains an injury arising out of and in the course of his employment, be deemed an employee and compensated in accordance with the provisions of this chapter;
- (iv) Any person engaged in any type of service in or about a private dwelling provided he is not regularly employed by the owner or occupier over twenty-six hours per week;
- (v) An employee of a corporation who is a corporate officer and who elects to be excluded from coverage under this chapter by notice in writing to his employer and to the commissioner; or
- (vi) Any person who is not a resident of this state but is injured in this state during the course of his employment, unless such person (I) works for an employer who has a place of employment or a business facility located in this state at which such person spends at least fifty per cent of his employment time, or (II) works for an employer

- pursuant to an employment contract to be performed primarily in this state.
- Sec. 3. Section 45a-695 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 174 (a) At any hearing upon [such] an application under this part, the 175 court shall receive evidence concerning the respondent's ability to give 176 informed consent. Such evidence shall include, but shall not be limited 177 to, reports in writing signed under penalty of false statement from [an 178 interdisciplinary team of at least three [impartial panel members 179 appointed by the court from a panel of professionals who are 180 physicians, psychologists, educators, [and] social workers [and] or 181 residential [workers] staff members. The court shall make a reasonable effort to appoint professionals from different disciplines who have 182 183 personally observed, examined or worked with such respondent at 184 some time during the twelve months preceding such hearing. If a 185 professional appointed by the court pursuant to this section has not 186 personally observed, examined or worked with the respondent during 187 the twelve months preceding the appointment of such professional's 188 appointment, the professional shall examine the respondent not more 189 than ninety days prior to the hearing. Such appointments shall be 190 made in accordance with regulations [to be promulgated] issued by the Probate Court Administrator in accordance with section 45a-77. 191
 - (b) The reasonable compensation of such appointed [panel members] professionals shall be established by the court in accordance with regulations issued by the Probate Court Administrator in accordance with section 45a-77. Such compensation shall be charged to the respondent provided, if the court finds such respondent is unable to pay such compensation, it shall be paid from the Probate Court Administration Fund.
- (c) Each such appointed [panel member] <u>professional</u> shall make his
 or her written report under penalty of false statement on a separate
 form provided for that purpose by the court and shall answer such

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202 questions as may be set forth on such form as fully and completely as 203 reasonably possible. The reports shall contain specific information 204 regarding the respondent's ability to give informed consent and shall 205 indicate the specific aspects of informed consent which the respondent 206 lacks. Each such appointed [panel member] professional shall state 207 upon the forms the reasons for his or her opinion. Such respondent or 208 his or her counsel shall have the right to present evidence and 209 cross-examine witnesses who testify at any hearing on the application. 210 If such respondent or his or her counsel notifies the court not less than 211 three days before the hearing that he or she wishes to cross-examine 212 the appointed [panel members] professionals, the court shall order 213 such [members] professionals to appear at the hearing.

Sec. 4. Section 45a-109 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

In addition to the basic charges and costs specified in sections 45a-106 to 45a-108, inclusive, the following expenses shall be payable to the courts of probate: (1) For recording each page or fraction thereof after the first five pages of any one document, three dollars; (2) for each notice in excess of two with respect to any hearing or continued hearing, two dollars; (3) for any expenses incurred by the court of probate for newspaper publication of notices, certified or registered mailing of notices, or for service of process or notice, the actual amount of the expenses so incurred; (4) for providing copies of any document from a file in the court of any matter within the jurisdiction of the court, five dollars for a copy of any such document up to five pages in length and one dollar per copy for each additional page or fractional part thereof as the case may be, provided there shall be furnished without charge to the fiduciary or if none, to the petitioner with respect to any probate matter one uncertified copy of each decree, certificate or other court order setting forth the action of the court on any proceeding in such matter; (5) for certifying copies of any document from a file in the court of any matter before the court, five dollars per each copy certified for the first two pages of a document,

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- and two dollars for each copy certified for each page after the second page of such document, provided no charge shall be made for any copy certified or otherwise that the court is required by statute to make; [and] (6) for retrieval of a file not located on the premises of the court, the actual cost or ten dollars, whichever is greater; and (7) for copying probate records through the use of a hand-held scanner, as defined in section 1-212, twenty dollars per day.
- Sec. 5. Subsection (a) of section 45a-273 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2011):
 - (a) The surviving spouse of any person who dies, or if there is no surviving spouse, any of the next of kin of such decedent, or if there is no next of kin or if such surviving spouse or next of kin refuses, then any suitable person whom the court deems to have a sufficient interest may, in lieu of filing an application for admission of a will to probate or letters of administration, file an affidavit or statement signed under penalty of false statement in the court of probate in the district in which the decedent resided, stating, if such is the case, that all debts of the decedent have been paid in the manner prescribed by section [45a-392] 45a-365, at least to the extent of the fair value of all of the decedent's assets, when (1) such decedent leaves property of the type described in subsection (b) of this section, and (2) the aggregate value of any such property as described in subsection (b) of this section does not exceed the sum of forty thousand dollars. In addition, such affidavit or statement shall state that the decedent either did, or did not, receive aid or care from the state, which shall also include aid or care from the Department of Veterans' Affairs, whichever is true.
- Sec. 6. Subsection (e) of section 45a-273 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2011):
- (e) If an affidavit is filed under subsection (a) of this section in lieu of an application for admission of a will to probate or letters of

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administration and the fair value of the property of the decedent exceeds the total amount of claims, including any amounts allowed to the family under section 45a-320, the court shall proceed as follows: (1) If no purported last will and testament is found, the court shall order distribution of the excess in accordance with the laws of intestate succession; (2) if the decedent left a duly executed last will and testament and the will provides for a distribution which is the same as that under the laws of intestate succession, the court shall order distribution of the excess in accordance with the laws of intestate succession; (3) if the decedent left a duly executed last will and testament and the will provides for a distribution different from that under the laws of intestate succession, and the heirs at law of such decedent sign a written waiver of their right to contest the will, the court shall order the excess to be paid in accordance with the terms of the will; (4) if the will directs a distribution different from the laws of intestate succession, and the heirs at law do not waive their right to contest the admission of such will, the will shall be offered for probate in accordance with section 45a-286. In such case, the court may issue a decree under this section only if the persons entitled to take the bequests under the will consent, in writing, to the distribution of the beguests in accordance with the laws of intestate succession. If the claims against the estate exceed the value of the property of such decedent, the claims shall be paid in accordance with the priorities set forth in section [45a-392] 45a-365. As used in this subsection, the term "will" includes any duly executed codicil thereto.

Sec. 7. Section 45a-274 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2011*):

When any decedent is entitled to payment of medical benefits, federal or state, or insurance or health benefits or proceeds, or other intangible personal property owned by or payable to [him] the decedent or to [his] the decedent's estate in a sum not exceeding one thousand dollars, the judge of probate for the district within which such decedent resided may name an administrator, ex parte, for the

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- purpose of enabling distribution to the surviving spouse or, if there is no surviving spouse, to the next of kin of such decedent or to the funeral director or physician, as the case may be, upon evidence satisfactory to him that all debts have been paid or provided for as prescribed by section [45a-392] 45a-365.
- Sec. 8. Subsection (b) of section 45a-597 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2011):
- 308 (b) If the estate is less than sufficient to pay all such expenses in full, 309 the provisions of section [45a-392] <u>45a-365</u> as to order of payment shall 310 govern.
- Sec. 9. Section 45a-754 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 313 (a) [The state shall furnish each court of probate with an index and a 314 book in which shall be recorded only applications, agreements, orders, 315 waivers, affidavits and returns of notice of hearing, appointments of guardians ad litem and decrees in All records of cases related to 316 317 termination of parental rights, removal of a parent as guardian, 318 appointment of a statutory parent, [and] adoption matters, temporary 319 guardianship and emancipation of a minor shall be confidential and 320 shall not be open to inspection by or disclosure to any third party, 321 except that (1) such records shall be available to (A) the parties in any 322 such case and their counsel; (B) the Department of Children and 323 Families; (C) any licensed child-placing agency involved in any such 324 case; (D) any judge or employee of a court of this state who, in the 325 performance of his or her duties, requires access to such records; (E) 326 the office of the Probate Court Administrator; and (F) courts of other states under the provisions of sections 46b-115a to 46b-115gg, 327 328 inclusive; and (2) access to and disclosure of adoption records shall be 329 in accordance with subsection (b) of this section.
- [(b) The probate court shall also maintain locked files which shall be

- used for the filing of sealed envelopes, each of which shall contain all the papers filed in court regarding the removal of a parent as guardian, petitions for termination of parental rights, appointment of statutory parent and adoption.
- 335 (c) In the case of an application for the removal of a parent as 336 guardian, a petition for termination of parental rights, an application 337 for a statutory parent or an application for adoption, the envelopes 338 shall be marked only with the words "Adoption Matter" and the names 339 of the adopting parents and the name borne by the minor before the 340 adoption. In the case of a removal of parent as guardian or in the case 341 of a termination of parental rights matter which does not result in an 342 adoption matter, the envelopes shall be marked only with the words 343 "Removal Of Parent As Guardian" or "Termination Of Parental Rights 344 Matter" and the name of the minor.]
- [(d)] (b) Access to [such] adoption records shall be in accordance with sections 45a-743 to 45a-753, inclusive. The records may also be disclosed upon order of the judge of probate to a petitioner who requires such information for the health or medical treatment of any adopted person. If such information is so required and is not within the records, the biological parent or parents or blood relatives may be contacted in accordance with the procedures in [said] section 45a-753.
- [(e) Any person who discloses any information contained in the indexes, record books and papers, except as provided in sections 45a-706 to 45a-709, inclusive, 45a-715 to 45a-718, inclusive, 45a-724 to 45a-737, inclusive, and 45a-743 to 45a-757, inclusive, shall be fined not more than five hundred dollars or imprisoned not more than six months or both.]
- Sec. 10. Section 45a-765 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- All proceedings, documents, correspondence and findings by the board shall be returned to the probate court initiating the application

and shall be confidential [and placed in sealed envelopes] as required by section 45a-754, as amended by this act.

Sec. 11. (NEW) (Effective October 1, 2011) Any person seeking online access to any data processing system operated by the Office of the Probate Court Administrator, or seeking, in any other medium, information stored in such data processing system, may be required to pay to the Office of the Probate Court Administrator an amount, as established in a fee schedule determined by the Probate Court Administrator, for deposit in the Probate Court Administration Fund established in section 45a-82 of the general statutes. Such fee schedule may include reasonable charges for personal services, fringe benefits, supplies and any other expenses related to maintaining, improving and providing such data processing services including, but not limited to, program modifications, training expenses, central processor user time and the rental and maintenance of equipment.

Sec. 12. Subsection (a) of section 45a-186 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):

(a) [Any] Except as provided in sections 45a-187, as amended by this act, and 45a-188, as amended by this act, any person aggrieved by any order, denial or decree of a court of probate in any matter, unless otherwise specially provided by law, may, not later than forty-five days after the mailing of an order, denial or decree for a matter heard under any provision of section 45a-593, 45a-594, 45a-595 or 45a-597, as amended by this act, sections 45a-644 to 45a-677, inclusive, or sections 45a-690 to 45a-705, inclusive, and not later than thirty days after mailing of an order, denial or decree for any other matter in a court of probate, appeal therefrom to the Superior Court. Such an appeal shall be commenced by filing a complaint in the superior court in the judicial district in which such court of probate is located, or, if the court of probate is located in a probate district that is in more than one judicial district, by filing a complaint in a superior court that is located

394 in a judicial district in which any portion of the probate district is 395 located, except that (1) an appeal under subsection (b) of section 12-396 359, subsection (b) of section 12-367 or subsection (b) of section 12-395 397 shall be filed in the judicial district of Hartford, and (2) an appeal in a 398 matter concerning removal of a parent as guardian, termination of 399 parental rights or adoption shall be filed in any superior court for 400 juvenile matters having jurisdiction over matters arising in any town 401 within such probate district. The complaint shall state the reasons for 402 the appeal. A copy of the order, denial or decree appealed from shall 403 be attached to the complaint. Appeals from any decision rendered in 404 any case after a recording is made of the proceedings under section 405 17a-498, 17a-685, 45a-650, 51-72 or 51-73 shall be on the record and 406 shall not be a trial de novo.

- Sec. 13. Subsection (a) of section 45a-186a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- 410 (a) In an appeal from an order, denial or decree of a court of probate 411 made after a hearing that is on the record <u>pursuant to subsection</u> (a) of 412 section 45a-186, as amended by this act, not later than thirty days after 413 service is made of an appeal under section 45a-186, as amended by this 414 act, or within such further time as may be allowed by the Superior 415 Court, the Court of Probate shall transcribe any portion of the 416 recording of the proceedings that has not been transcribed. The 417 expense for such transcript shall be charged against the person who 418 filed the appeal, except that if the person who filed the appeal is 419 unable to pay such expense and files an affidavit with the court 420 demonstrating the inability to pay, the expense of the transcript shall 421 be paid by the Probate Court Administrator and paid from the Probate 422 Court Administration Fund.
- Sec. 14. Section 45a-187 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2011*):
- (a) An appeal [under section 45a-186] by persons of the age of

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- 433 434 order of termination of parental rights, other than an order of
- 435 termination of parental rights based on consent, or a decree of
- 436 adoption, in which case appeal shall be taken within ninety days. An
- 437 appeal from an order of termination of parental rights based on
- 438 consent, which order is issued on or after October 1, 2004, shall be
- 439 taken within twenty days.

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- 440 **I**(b) An appeal from any probate order for the payment of claims or 441 dividends on claims against any insolvent estate shall not be allowed
- 442 unless it is taken within thirty days after the making of such order.
- 443 [(c)] (b) An order, denial or decree of a court of probate shall not be
- 444 invalid because of the disqualification of the judge unless an appeal
- 445 therefrom is taken within [thirty days] the time provided in section
- 446 45a-186, as amended by this act, this section and section 45a-188, as
- 447 amended by this act.
- 448 Sec. 15. Section 45a-188 of the general statutes is repealed and the
- 449 following is substituted in lieu thereof (*Effective October 1, 2011*):
- 450 (a) Except as provided in this section, all appeals by persons who
- 451 are minors at the time of the making of the order, denial or decree
- 452 appealed from shall be taken within twelve months after they arrive at
- 453 the age of majority.
- 454 (b) In the case of any minor who has a guardian or guardian ad
- 455 litem appointed and qualified by any court of probate in this state at
- 456 the time of the making of the order, denial or decree, [the time in

- 457 which] the minor or anyone on his behalf may appeal therefrom [shall 458 be one month from the date of such order, denial or decree if the 459 guardian or guardian ad litem has had legal notice, as provided for the 460 particular proceeding, of the time and place of the hearing on such 461 proceeding concerning which such order, denial or decree was made] 462 within the time provided in section 45a-186, as amended by this act, if 463 the guardian or guardian ad litem had legal notice of the time and 464 place of the hearing.
- I(c) All appeals by persons not inhabitants of this state who were not present at such time and did not have legal notice to be present shall be taken within twelve months thereafter.
- 468 [(d)] (c) Any judge or clerk of the Court of Probate or any fiduciary 469 may cause written notice of any order, denial or decree of the Court of 470 Probate to be given to any person of the age of majority, or to the 471 guardian or guardian ad litem of any minor who has not had legal 472 notice of the hearing on the proceeding at which the order, denial or 473 decree was passed and who may be aggrieved thereby. In any such 474 case the person, minor, guardian or guardian ad litem may appeal only 475 within [one month] the time provided in section 45a-186, as amended 476 by this act, after receiving such notice.
 - Sec. 16. Section 45a-113a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - Whenever a court determines that a refund is due an applicant, petitioner, moving party or other person for any <u>overpayment of</u> costs, fees, charges or expenses incurred under the provisions of sections 45a-106 to 45a-112, inclusive, the Probate Court Administrator shall, upon receipt of certification of such overpayment by the court of probate that issued the invoice for such costs, fees, charges or expenses, cause a refund of such overpayment to be issued from the Probate Court Administration Fund.
- Sec. 17. Section 45a-175 of the general statutes is repealed and the

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following is substituted in lieu thereof (*Effective October 1, 2011*):

- (a) Courts of probate shall have jurisdiction of the interim and final accounts of testamentary trustees, trustees appointed by the courts of probate, conservators, guardians, persons appointed by probate courts to sell the land of minors, executors, administrators and trustees in insolvency, and, to the extent provided for in this section, shall have jurisdiction of accounts of the actions of trustees of inter vivos trusts and attorneys-in-fact acting under powers of attorney.
- (b) A trustee or settlor of an inter vivos trust or an attorney-in-fact or the successor of the trustee, settlor or attorney-in-fact or the grantor of such power of attorney, or [his] the legal representative of the settlor or grantor, or any person whom the court deems suitable to represent the interests of the settlor or grantor, may make application to the court of probate for the district where the trustee, or any one of them, or the attorney-in-fact has any place of business or to the court of probate for the district where the trustee or any one of them or the settlor or the attorney-in-fact or the grantor of the power resides or, in the case of a deceased settlor or grantor, to the court of probate having jurisdiction over the estate of the settlor or grantor or for the district in which the settlor or grantor resided immediately prior to death for submission to the jurisdiction of the court of an account for allowance of the trustee's or attorney's actions under such trust or power.
- (c) (1) Any beneficiary of an inter vivos trust may petition a court of probate having jurisdiction under this section for an accounting by the trustee or trustees. The court may, after hearing with notice to all interested parties, grant the petition and require an accounting for such periods of time as it determines are reasonable and necessary on finding that: (A) The beneficiary has an interest in the trust sufficient to entitle him to an accounting, (B) cause has been shown that an accounting is necessary, and (C) the petition is not for the purpose of harassment.
- 519 (2) A court of probate shall have jurisdiction to require an

- accounting under subdivision (1) of this subsection [(c) of this section]
 if (A) a trustee of the trust resides in its district, (B) in the case of a
 corporate trustee, the trustee has any place of business in the district,
 (C) any of the trust assets are maintained or evidences of intangible
 property of the trust are situated in the district, or (D) the settlor
 resides in the district or, in the case of a deceased settlor, resided in the
 district immediately prior to death.
- (3) As used in subdivision (1) of <u>this</u> subsection, [(c) of this section,]

 "beneficiary" means any person currently receiving payments of
 income or principal from the trust, or who may be entitled to receive
 income or principal or both from the trust at some future date, or the
 legal representative of such person.
 - (d) The action to submit an accounting to the court, whether by an inter vivos trustee or attorney acting under a power of attorney or whether pursuant to petition of another party, shall not subject the trust or the power of attorney to the continuing jurisdiction of the Probate Court.
 - (e) If the court finds such appointment to be necessary and in the best interests of the estate, the court upon its own motion may appoint an auditor, to be selected from a list provided by the Probate Court Administrator, to examine accounts over which the court has jurisdiction under this section, except those accounts on matters in which the fiduciary or cofiduciary is a corporation having trust powers. The Probate Court Administrator shall [promulgate] issue regulations in accordance with section 45a-77 concerning the compilation of a list of qualified auditors. Costs of the audit may be charged to the fiduciary, any party in interest and the estate, in such proportion as the court shall direct if the court finds such charge to be equitable. Any such share may be paid from the fund established under section 45a-82, subject to the approval of the Probate Court Administrator, if it is determined that the person obligated to pay such share is unable to pay or to charge such amount to the estate would

- (f) Upon the allowance of any such account, the court shall determine the rights of the fiduciaries or the attorney-in-fact rendering the account and of the parties interested in the account, subject to appeal as in other cases. The court shall cause notice of the hearing on the account to be given in such manner and to such parties as it directs.
- (g) In any action under this section, the Probate Court shall have, in addition to powers pursuant to this section, all the powers available to a judge of the Superior Court at law and in equity pertaining to matters under this section, including, without limitation, the power to remove a trustee of an inter vivos trust or attorney-in-fact and to appoint a successor fiduciary in accordance with section 45a-242. In appointing a successor fiduciary, the court may waive the requirement of a probate bond if the governing instrument does not require such a bond.

This act shall take effect as follows and shall amend the following sections:		
Section 1	July 1, 2011	45a-8a
Sec. 2	July 1, 2011	31-275(9)
Sec. 3	October 1, 2011	45a-695
Sec. 4	October 1, 2011	45a-109
Sec. 5	July 1, 2011	45a-273(a)
Sec. 6	July 1, 2011	45a-273(e)
Sec. 7	July 1, 2011	45a-274
Sec. 8	July 1, 2011	45a-597(b)
Sec. 9	October 1, 2011	45a-754
Sec. 10	October 1, 2011	45a-765
Sec. 11	October 1, 2011	New section
Sec. 12	October 1, 2011	45a-186(a)
Sec. 13	October 1, 2011	45a-186a(a)
Sec. 14	October 1, 2011	45a-187
Sec. 15	October 1, 2011	45a-188
Sec. 16	from passage	45a-113a
Sec. 17	October 1, 2011	45a-175

Statement of Purpose:

To make various changes regarding probate court operations, including, but not limited to: (1) Update provisions on the Regional Children's Probate Courts; (2) provide workers' compensation for coverage probate judges; (3) eliminate the panel interdisciplinary teams in proceedings to determine an individual's ability to give informed consent to a sterilization procedure and allow professionals who have a personal working relationship with the respondent to appear on the interdisciplinary team; (4) establish a fee structure of twenty dollars per day when an individual copies probate court records with a hand-held scanner; (5) update statutory references to sections governing order of payment of claims; (6) update provisions concerning sealed and confidential records; (7) permit the Probate Court Administrator to establish a fee structure for electronic access to data processing systems in the probate courts; (8) conform statutory references concerning probate appeals; (9) clarify the powers of a probate court with respect to accountings, removal of a fiduciary of an inter vivos trust, and waiver of bond requirements for a successor fiduciary when bond is not required by the governing instrument; and (10) make technical and conforming changes.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]